



Receipt

S&H Form: (2/01)  
DOCKET NO. 1330.1013C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Donald A. SMALLEY, et al.

Serial No: 09/837,428

Group Art Unit: 2171

Confirmation No. 8921

Filed: April 19, 2001

Examiner: To be Assigned

For: SYSTEM FOR MANAGING REGULATED ENTITIES

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REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is requested that the name of the first inventor, Donald A. Smalley, on the Official Filing Receipt be corrected. The correct first named inventor is Donald A. Smalley, as is evidenced by the Declaration, attached as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 7/5/01

By: [Signature]

J. Randall Beckers  
Registration No. 30,358

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/837,428	04/19/2001	2171	1896	1330.1013-C2	8	37	14
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CONFIRMATION NO. 8921

## FILING RECEIPT



\*OC000000006177441\*

21171  
STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20001

Date Mailed: 06/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Donale A. Smalley, St. Paul, MN;  
Todd Fein, Arlington, VA;  
Jeffrey Gerber, McLean, VA;  
Gary Singer, Arlington, VA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/12/2001

Projected Publication Date: 10/24/2002

Non-Publication Request: No

Early Publication Request: No

## Title

System for managing regulated entities

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STAAS AND HALSEY  
JUN 14 2001  
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**Date:** 06/13/2001

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Bib Data Sheet

CONFIRMATION NO. 8921

<b>SERIAL NUMBER</b> 09/837,428	<b>FILING DATE</b> 04/19/2001 <b>RULE</b>	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2172	<b>ATTORNEY DOCKET NO.</b> 1330.1013-C2
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**APPLICANTS**

Donald A. Smalley, St. Paul, MN;  
Todd Fein, Arlington, VA;  
Jeffrey Gerber, McLean, VA;  
Gary Singer, Arlington, VA;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 06/12/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> MN	<b>SHEETS DRAWING</b> 8	<b>TOTAL CLAIMS</b> 37	<b>INDEPENDENT CLAIMS</b> 14
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**

21171

**TITLE**

System for managing regulated entities

<b>FILING FEE RECEIVED</b> 1896	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
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